

Docket No.: 525.1089-PCT-US

UNITED STATES PATENT & TRADEMARK OFFICE

Examiner: Unknown	Art Unit: Unknown
Applicant:	KETTINGER, Frederick R., et al.
Serial No.:	To be assigned
Filed	Herewith
For:	PHARMACEUTICAL DOSAGE FORMS HAVING OVERT AND COVERT MARKINGS FOR IDENTIFICATION AND AUTHENTICATION

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

December 9, 2005

Sir:

Pursuant to M.P.E.P. §609 and 37 C.F.R. §§1.56, 1.97-1.99, Applicants herewith submit forms PTO-1449 (2 pages), which lists references cited in the International Search Report (ISR) dated March 24, 2005, International Preliminary Report on Patentability dated May 20, 2005 and of which Applicants are aware of for the application. A copy of the ISR and IPER are enclosed herewith. It is noted that the Applicants have not enclosed a copy of the U.S. patents cited on form PTO-1449.

This submission does not represent that a search has been made or that no better art exists. While the term "references" is used in citing the documents called to the Examiner's attention herein, Applicants do not make any admission that each or all of them are "prior art" references within the meaning of the statutory and case law.

Applicants reserve the right to contend, where appropriate, that a patent asserted against any claim of the present application is not prior art under the facts and the law.


Applicants also reserve the right to present appropriate arguments and/or evidence to establish patentability over the references, should one or more of the references be applied against the claims of the present application.

Applicants request that the Examiner independently determine those items which the Examiner would consider the most pertinent of all the references cited herein.

This Information Disclosure Statement is filed with the application and is therefore within three (3) months of the filing date of this application. Accordingly, this document complies with the requirements of 37 C.F.R. Section 1.97(b). It is respectfully requested that the references cited in the accompanying PTO-1449 form be considered and made of record.

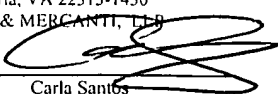
Respectfully submitted,

LUCAS & MERCANTI, LLP



Michael N. Mercanti
Registration No. 33,966

LUCAS & MERCANTI, LLP
475 Park Avenue South
New York, NY 10016
Phone: 212-661-8000
Fax: 212-661-8002

"Express Mail" mailing label no. EV 733 808 029 US
Date of Deposit December 9, 2005
I hereby certify that this correspondence and/or fee
is being deposited with the United States Postal Service
"Express Mail Post Office to Addressee" service under 37
CFR 1.10 on the date indicated above, in an envelope
addressed to: "Commissioner for Patents, P.O. Box 1450
Alexandria, VA 22313-1450
LUCAS & MERCANTI, LLP
By: 
Carla Santos

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	Docket Number (Optional) 525.1089-PCT-US	Application Number 10/560368 <small>For 2 assigned</small>
Applicant(s) KETTINGER, Frederick R., et al.		
Filing Date Herewith		Group Art Unit Unknown

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	6,543,692	04/08/2003	Nellhaus, et al.			08/31/2000
	A2	5,992,742	11/30/1999	Sullivan, et al.			05/30/1997
	A3	6,316,029	11/13/2001	Jain, et al.			05/18/2000
	A4	6,776,341	08/17/2004	Sullivan, et al.			11/03/1999
	A5	6,799,725	10/05/2004	Hess, et al.			08/05/1994

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	A6	WO 01/30573 A1	05/03/2001	PCT				

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

1499 REC'D PCT/PTO 09 DEC 2005

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.

525,1089-PCT-US

70/560368
To be assigned

APPLICANT(S)

KETTINGER, Frederick R., et al.

FILING DATE

Herewith

GROUP ART UNIT

Unknown

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A7	4,889,367	12/26/1989	Miller			10/07/1988
	A8	5,006,362	04/09/1991	Hilborn			02/02/1990
	A9	5,700,998	12/23/1997	Palti			10/31/1995
	A10	5,845,264	12/01/1998	Nellhaus			03/07/1996
	A11	5,942,444	08/24/1999	Rittenburg, et al.			01/27/1997

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.